



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 35.C15505

First Named Inventor or Application Identifier

ATSUSHI OKUYAMA, ET AL.

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification Total Pages
4. ☒ Drawing(s) (35 USC 113) Total Sheets
5. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 6 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

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or

☐ Correspondence address below

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	21-20 =	1	X \$ 18.00 =	\$ 18.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3 =	1	X \$ 80.00 =	\$ 80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 270.00
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 1078.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$ 1078.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 1078.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>	
NAME	Scott D. Malpede - Reg. No. 32,533
SIGNATURE	
DATE	April 26, 2001

SDMcmv

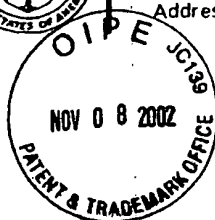
# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



Account No.	061205
Date	6-29-01
Page	8

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FINA

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PLEASE SEND REMITTANCES TO:  
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DAY	YR.						
27	01	146	09019181	37.P43	561✓	30.00	26731.00
27	01	149	08862352	35.G1482CI	561✓	30.00	26701.00
27	01	151	09025433	862.2172	561✓	30.00	26671.00
27	01	185	09573478	2280.2320DI	561?	30.00	26641.00
27	01	236	09472994	684.2947	561✓	30.00	26611.00
27	01	299	09096514	35.G2191	561✓	30.00	26581.00
28	01	32	09888604	684.3202	104✓	240.00	26341.00
	01	179	09066840	684.2659	561✓	30.00	26311.00
29	01	1	09842124	35.C15505	704✓	288.00	26023.00
29	01	73	09888417	2910.0001	704✓	-144.00	26167.00
29	01	79	08619910	862.1417	561✓	30.00	26137.00

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03500.015505

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PATENT APPLICATION refund  
02-05-03



IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:

ATSUSHI OKUYAMA ET AL.

Appl. No.: 09/842,124

Filed: April 26, 2001

For: IMAGE DISPLAY APPARATUS  
AND OPTICAL SYSTEM

) Examiner: M. Koval

) Group Art Unit: 2851

) November 8, 2002

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicant requests a refund of \$288.00 for Claims Fees in excess of 20, which was charged to our Deposit Account. It is requested that the refund be applied as a credit to our Deposit Account No. 06-1205.

On April 26, 2001, as indicated on the attached Transmittal Sheet, the Applicant forwarded a check in the amount of \$1,078.00 to cover the Basic Filing fee, additional claims fees and multiple dependent claims fees. Also enclosed is a copy of the postcard acknowledging receipt of the check by the Patent and Trademark Office.

Applicant's attorney received the Monthly Statement of Deposit Account, dated June 29, 2001, copy attached, indicating that Deposit Account No. 06-1205 was charged \$288.00.

Accordingly, Applicants hereby request and authorize the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$288.00, in order to resolve this matter.

Applicants' undersigned agent may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
Attorney for Applicants

Registration No.: 43,279

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